

Confirmation of Verification of Identity – Private Individual



Introduction by an FCA-regulated firm

1. Details of Individual (see explanatory notes below)

Full name of customer

Current address

Previous address
(if individual has changed address in the last 3 months)

Date of birth

2. Confirmation

I/we confirm that:

a) the information in section 1 above was obtained by me/us in relation to the customer;

b) the evidence I/we have obtained to verify the identity of the customer: (tick only one)

- Meets the standard evidence set out within the guidance for the UK financial sector issued by JMLSG; or
- Exceeds the standard evidence - written details of the further verification evidence taken are attached to this form

Signed

Date

Name

Position

3. Details of introducing firm or sole trader

Full name of regulated firm / sole trader

FCA reference number

Explanatory notes

1. A separate confirmation must be completed for each customer (e.g. joint holders, trustee cases and joint life cases). Where a third party is involved, e.g. a payer of contributions who is different from the customer, the identity of that person must also be verified, and a confirmation provided.
2. This form cannot be used to verify the identity of any customer that falls into one of the following categories:
 - those who are exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirement for such verification;
 - those whose identity has not been verified by virtue of the application of a permitted exemption under the Money Laundering Regulations; or
 - those whose identity has been verified using the source of funds as evidence.
3. This confirmation must carry an original signature, or an electronic equivalent.